

STRATEGIC RISK REGISTER - SEPTEMBER 2010

| Risk No | Directorate | Risk Category | Risk Description | Current Risk Score | Likelihood | Impact | Risk Status |
|---------|------------------|-------------------------|---|--------------------|-------------|-------------|-------------|
| 1 | Chief Executives | Customer/Citizen | The Council fails to identify and respond to the need for organisational change taking into account external and internal factors and fails to effectively drive organisational direction. | D2 | Low | Critical | Open |
| 2 | Chief Executives | Political | The potential for frequency of changes in the political makeup of the Council present constraints in making medium / long term strategic decisions. | C3 | Significant | Significant | Open |
| 3 | Chief Executives | Political | Members are not provided with sufficient, relevant, accurate, reliable and robust information upon which to base strategic decisions. | E3 | Very Low | Significant | Open |
| 4 | Resources | Economic | The Council fails to take into account the likely workforce, industrial relations and skills retention issues that may arise as a result in reductions in public expenditure and as a consequence management and/or staff skills are inadequate to support and deliver agreed levels of services and/or there is a breach or failure to meet the requirements of new or existing legislation. | C2 | Significant | Critical | Open |
| 5 | Chief Executives | Professional/Managerial | The Council does not have the management capacity to effectively co-ordinate and support delivery of a range of key strategic or transformational projects which are set out in the Council's Medium Term Service and Financial Plan, Corporate Improvement and other key documents. | C3 | Significant | Significant | Open |
| 6 | Neighbourhoods | Customer/Citizen | A major incident or event occurs that significantly impairs the Council's ability to function or provide a service to customers. | B3 | High | Significant | Open |
| 7 | Chief Executives | Partnership/Contractual | Key partnerships or key contracts may breakdown and/or fail to deliver service objectives. | D3 | Low | Significant | Open |
| 8 | Chief Executives | Competitive | Failure to focus on delivering service improvements and 'value for money' and not meeting our targets or those identified by external assessors. | D3 | Low | Significant | Open |
| 9 | Chief Executives | Economic | Major city and city centre infrastructure developments or economic development plans and initiatives are adversely affected by economic, environmental or market conditions and/or are not delivered in accordance with stakeholder expectation. | B2 | High | Critical | Open |
| 10 | Chief Executives | Legislative | The physical assets that the council is responsible for are not effectively managed or controlled | B2 | High | Critical | Open |
| 11 | Environment | Environmental | Insufficient progress on securing the capital investment required (within the Council and City)to develop and implement a comprehensive adaptation and action plan for managing and monitoring major weather and climate vulnerabilities - including the development of infrastructure such as flood defences, surface water drainage renewal and green infrastructure. | C3 | Significant | Significant | Open |
| 12 | Environment | Environmental | Insufficient progress on the Council's delivery plans for the Carbon Reduction Commitment to reduce CO2 levels sufficiently to avoid severe financial penalties from Central Government. Risk of potential loss of reputation for poor performance in our 'Use of Resources' assessment and LAA designated target (reference our CAA organisational judgement). | C3 | Significant | Significant | Open |